

Sheet 1 of 1

Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DKT. NO. 843.42990X00	SERIAL NO.
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)				APPLICANT MASUOKA, et al.	
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**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
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AL						

**FOREIGN PATENT DOCUMENTS**

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						Yes	No
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/MM/ AU	Basel Committee on Banking Supervision, "Working Paper on the Regulatory Treatment of Operational Risk", September 2001
/MM/ AV	English language translation of "All About Operational Risk", Society for the Study of Operational Risk of Mitsubishi Trust and Banking Corporation, TOYO KEIZAI Inc., March 2002, Pgs. 108-112, 133-134
/MM/ AW	W. Richard Stevens, "UNIX Network Programming, Prentice-Hall", Pgs. 171-196
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/Michael Meucci/	05/08/2007